Geometric Topics on Elementary Amenable Groups

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Abstract The class of amenable groups plays an important role in many areas of mathematics such as ergodic theory, harmonic analysis, representation theory, dynamical systems, geometric group theory, probability theory and statistics. The class of amenable groups contains in particular all finite groups, all abelian groups and, more generally, all solvable groups. It is closed under the operations of taking subgroups, taking quotients, taking extensions, and taking inductive limits. In 1959, Harry Kesten proved that there is a relation between the amenability and the estimates of symmetric random walk on finitely generated groups. In this article we study the classification of locally compact compactly generated groups according to return probability to the origin. Our aim is to compare several geometric classes of groups. The central tool in this comparison is the return probability on locally compact groups. We introduce several classes of groups in order to characterize the geometry of locally compact groups compactly generated. Our aim is to compare these classes in order to better understand the geometry of such groups by referring to the behavior of random walks on these groups. As results, we have found inclusion relationships between these defined classes and we have given counterexamples for reciprocal inclusions.

Keywords Graphs and Groups; Subgroup Growth; Wreath Product; Probability Measures on Groups; Geometric Probability, Random Walks

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1 Introduction

The class of amenable groups was introduced by von Neumann in 1929 (see [1]) in order to explain the Hausdorff-Banach-Tarski paradox [2].

Since then, the theory of amenable groups has advanced on many fronts. Recall that a topological group is said to be amenable if it admits an invariant continuous means, that is a functional $m$ on $L^\infty(G)$ such that $m(1) = 1$ and for all $g \in G$ and for all $f \in L^\infty(G)$, $m(g \cdot f) = m(f)$, where $g \cdot f(x) = f(g^{-1}x)$.

It is well known that finite groups and abelian groups are amenable and that the class $AG$ of amenable groups is closed under four standard processes of constructing new groups from given ones:

(A) Taking closed subgroups.

(B) Taking quotient groups.
(C) Group extensions.
(D) Direct limits.

In the sequel, we introduce several classes of groups in order to characterize the geometry of locally compact groups compactly generated. Our aim is to compare these classes in order to better understand the geometry of such groups by referring to the behavior of random walks on these groups.

2 Word distance and geometric properties

Let $G$ be a locally compact group and compactly generated with identity element $e$. Let $\lambda$ be the Haar measure on $G$. For any compact generating set $K$, symmetric and neighborhood of $e$, consider the Cayley graph $(G, K)$ with vertex set $G$ and an edge from $x$ to $y$ if and only if $y = xz$ for some $z \in K$.

For all $x \in G$, the length $l_K(x)$ of $x$ associated to $K$ is the minimal number $n$ of elements $s_1, ..., s_n \in K$ such that $x = s_1 s_2 ... s_n$, we put by convention $l_K(e) = 0$.

We denote by $d_K(x, y) = l_K(x^{-1}y)$ the word distance between the elements $x, y$ in the Cayley graph $(G, K)$.

The volume growth function on $G$ associated to the compact $K$ is defined by $V_K(n) = \lambda(B_K(n))$, where $B_K(n)$ is the ball centered at $e$ and with radius $n$ with respect to the word distance.

We define the asymptotic behavior of the volume growth in the following sense:

if $f$ and $g$ are two non-negative functions defined on the positive real axis, we use the notation $f \lesssim g$ if there exist constants $a, b > 0$, such that for $x$ large enough, $f(x) \leq a g(bx)$. If the symmetric relation also holds, we write $f \simeq g$.

When a function is defined only on the integers, we extend it to the positive real axis by linear interpolation. We will use the same name for the original function and its extension. If $f \lesssim g$ holds without $f \simeq g$, we write $f \ll g$.

The asymptotic behavior of $f$ is the coset with respect to this relation.

It is well known that the asymptotic behavior of $V_K(n)$ is independent of the choice of $K$. So, we can denote it in the sequel by $V_n$.

For a group $G$, three behaviors may occur:

- Exponential volume growth when $V_n \simeq \exp(n)$,
- Polynomial volume growth when $V_n \simeq n^d$ for some $d > 0$
- Intermediate growth, where $V_n$ is equivalent to neither of the above.

It is obvious that $\lim_n (V_n)^{1/n}$ exists for all locally compact group $G$ compactly generated. If this limit is strictly greater than 1, the group has exponential growth. If it is at most 1, we say that $G$ has sub-exponential growth.

3 Probability of return to the origin

Let $G$ be a locally compact, compactly generated and unimodular group.

Let $e$ be the unit element of $G$. Let $\lambda$ be the Haar measure on $G$. Let $\mu$ be a probability measure on $G$ associated to a density $F$ with respect to $\lambda$.

We suppose that $F$ satisfies the following "natural assumptions":

1. $F$ is bounded
2. $F$ is symmetric
3. $F$ is locally positive, that is there exists a relatively compact symmetric open neighborhood $U$ of $e$ generating $G$, such that, for all $g \in U$, $F(g) > C$ for a positive constant $C$.
4. $F$ has a second finite moment, that is $\int_G l_K(g)^2 F(g) d\lambda(g) < +\infty$.

We consider the universe $\Omega = G^\mathbb{N}$ equipped with the product Borelian $\sigma$-algebra denoted by $\mathcal{B}(\Omega)$. We define the probability space $(\Omega, \mathcal{B}(\Omega), P)$ by setting:

$$P = \delta_e \otimes \mu^{\otimes \mathbb{N}},$$

where $\delta_e$ is the Dirac measure at $e$. 
Let \( X_n : \Omega \to G \) be the \( n \)-th canonical projection on \( G \), with \( X_0 \) the sure variable equal to \( e \), and \( Z_n(\omega) = \prod_{i=0}^{n} X_i(\omega); \omega \in \Omega \) defines as in [3] the random walk on \( G \) associated to \( \mu \).

It is well known that the asymptotic behavior of the probability \( P(Z_{2n} \in U) \) is independent of the choice of \( F \), and \( P(Z_{2n} \in U) \simeq F^{*2n}(e) \), where \( F^{*2n} \) is the \( 2n \)-th convolution of \( F \) by itself. In the rest we denote by \( \Phi_G(n) \) the asymptotic behavior of \( P(Z_{2n} \in U) \) called the asymptotic decay of the return probability to the origin on the group \( G \).

### 4 Comparison between Classes in a discrete case

In this section, we consider the following classes of finitely generated discrete groups.

1. The class \( AG \) of all amenable groups.
2. The class \( EG \) which is the smallest class containing finite groups, abelian groups, and closed under processes: (A)-(D).
3. The class \( NF \) of groups without free subgroup on two generators.
4. The class \( IG \) of groups with asymptotic behavior of probability of return decays slower than \( \exp(-n^{1/3}) \), that is \( \Phi_G(n) \gtrsim \exp(-n^{1/3}) \).
5. The class \( SG \): the smallest class containing all groups with sub-exponential volume growth and closed under processes: (A)-(D).

The notations \( AG \) and \( NF \) were also introduced by Day [4] in the context of discrete groups. We will call groups in \( EG \) elementary amenable groups.

In the class \( SG \) that was introduced by Rosenblatt [5], the groups are said to be sup-amenable.

In this paper, we give a new description of the known amenable groups. More precisely, we will discuss the relationship between the classes above. The tool used in this comparison is the asymptotic decay of \( \Phi_G(n) \).

#### 4.1 Comparison between \( EG \), \( SG \) and \( AG \)

**Proposition 1. (see [6, 7])**

The class \( EG \) is a subset of \( SG \), the converse is false.

**Proof.**

If \( G \) is abelian or finite, then by the Gromov’s Growth theorem (see [8]) \( G \) must have a polynomial volume growth of some degree \( d \), that is \( V_G(n) \simeq n^d \) so \( V_G(n^{1/n}) \to 1 \), then \( G \in SG \).

Hence, \( SG \) contains every abelian and finite groups, and since it is closed under processes (A)-(D) so \( EG \subseteq SG \).

The first Grigorchuk group is with intermediate volume growth. So by Chou’s theorem \( G_{first} \) is not in \( EG \). On the other hand, \( G_{first} \) has a sub-exponential volume growth. That proves that \( G_{first} \) is in \( SG \), so \( SG \) is not a subclass of \( EG \).

(For a detailed definition of the \( G_{first} \) group, see [9]).

**Proposition 2. (see [10])**

The class \( SG \) is a subset of \( AG \), the converse is false.

**Proof.**

Let \( G \) be a group with a sub-exponential volume growth. If \( G \) is not amenable, then by Kesten’s theorem, \( \Phi_G(n) \simeq \exp(-n) \), and taking \( K \) such that \( \text{supp}(F) \subseteq K \) then \( P(Z_{2n} \in B_{2n}) = 1 \). On the other hand, using Cauchy Schwarz inequality, we get \( P(Z_{2n} \in B_{2n}) \leq F^{*2n}(e)\lambda(B_{2n}) \) so \( \lambda(B_{2n}) \geq \frac{1}{F^{*2n}(e)} \) and then \( \exp(n) \lesssim V_G(n) \). That gives \( G \in AG \). Since \( AG \) is closed under (A)-(D), we get the desired inclusion.

Consider the iterated monodromy group \( G \) of the polynomial \( z^2 - 1 \), that was introduced by Grigorchuk and Zuk in [7], who showed that \( G \) does not belong to the class \( SG \).

In [10], Bartholdi and Balint Virag showed that \( \Phi_G(n) \simeq \exp(-n^{2/3}) \) and so by Kesten’s criterion \( G \) is amenable. This shows that the inclusion \( SG \subseteq AG \) is strict.
4.2 Comparison between AG and NF

Proposition 3. (see [10])

The class AG is a subset of NF, the converse is false.

Proof.

Let $G$ be a group which is not in NF. Then, it contains a free group $F$ with two generators. Since $G$ is discrete, so $F$ is a closed subset of $G$ and using Theorem 1.3 in [11] $\Phi_G(n) \lesssim \Phi_F(n)$. It is well known that $\Phi_F(n) \simeq \exp(-n)$, so $\Phi_G(n) \lesssim \exp(-n)$. Then by Kesten’s theorem $G$ is not amenable.

In the converse problem that was solved in the 1980’s by Ol’shanskii [12], he proved that $AG \neq NF$ by showing that the Tarski monster group, which is an infinite group in which every nontrivial proper subgroup is cyclic of order and a fixed prime $p$, is not amenable and it does not contain a free non-abelian group with two generators.

4.3 Comparison between AG and IG

Proposition 4. The class IG is a subset of AG, the converse is false.

Proof.

Let $G$ be in IG then $\Phi_G(n) \gtrsim \exp(-n^{1/3})$ so $\exp(-n) \lesssim \Phi_G(n)$. Then by Kesten’s theorem, the amenability of $G$ holds. To show that converse is not true, we use the iterated wreath product $(\mathbb{Z} \wr \mathbb{Z}) \wr \mathbb{Z}$ then by [13] we have

$$\Phi(n) \simeq \exp(-n^{1/3} \log^{1/2} n)$$

then $(\mathbb{Z} \wr \mathbb{Z}) \wr \mathbb{Z}$ is in AG but not in IG.

4.4 Comparison between EG and IG

Proposition 5. The class EG is a subset of IG.

Proof.

Suppose that $G$ is in EG. Then by Chou’s theorem [6]: $G$ has either polynomial or exponential volume growth.

- In the polynomial case, $\Phi_G(n) \simeq n^{-d/2}$ so $G$ in IG.
- In the exponential case, by Corollary 7.4 in [14] the group $G$ satisfies $\Phi_G(n) \simeq \exp(-n^{1/3})$ and then $G$ is also in IG.

Question: Is it true that IG is not a subset of EG?

5 Summary

We summarize the results obtained in the following diagram:

$$\text{EG} \subset\subset \text{SG} \subset\subset \text{AG} \subset\subset \text{NF} \quad \subset \quad \text{IG}$$

Such as:

- $AG$: The class of all amenable groups.
- $EG$: The class which is the smallest class containing finite groups, abelian groups, and closed under processes (A)-(D).
- $NF$: The class of groups without free subgroup on two generators.
- $IG$: The class of groups with asymptotic behavior of probability of return decays slower than $\exp(-n^{1/3})$, that is $\Phi_G(n) \gtrsim \exp(-n^{1/3})$.
- $SG$: The smallest class containing all groups with sub-exponential volume growth and closed under processes (A)-(D).

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Conflicts of Interest

The authors declare no conflict of interest.
REFERENCES


